

# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| C   | APPLICATION NO. | F                  | ILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.        | CONFIRMATION NO. |  |
|---|-----------------|--------------------|------------|----------------------|----------------------------|------------------|--|
|   | 10/634,176      |                    | 08/04/2003 | Clifford Teoh        | 8600-0006.01<br>00-0207CON | 7120             |  |
|   | 20855           | 55 7590 03/19/2004 |            |                      |                            | EXAMINER         |  |
| ROBINS & PASTERNAK<br>1731 EMBARCADERO ROAD |                 |                    |            |                      | HO, UYEN T                 |                  |  |
|   | SUITE 230       |                    |            |                      | ART UNIT                   | PAPER NUMBER     |  |
|   | PALO ALTO,      | CA 9               | 4303       |                      | 3731                       |                  |  |

DATE MAILED: 03/19/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

| Office Action Summary    10/634,176  | n ji  | Application No.  | Applicant(s)  |  |  |  |  |  |
|--|---|--|---|--|--|--|--|--|
| Clackie) Tan-Uyen T. Ho   3731   |   | 10/634,176   | TEOH ET AL.   |  |  |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address — Period for Reply  A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  Extension for item rapt be available under the provisions of 3 CER 1.136(a). In or event, however, may a reply to timely filled  If the period for only specified above is less than thiny (20) stays, an ephy within the statuloury minimum of thinty (20) stays will be considered timely.  If the period for only specified above is less than thiny (20) stays, an ephy within the statuloury minimum of thinty (20) stays will be considered timely.  If the period for only specified above is less than thiny (20) stays, an ephy within the statuloury minimum of thinty (20) stays will be considered timely.  If the period for only is specified above is less than thiny (20) stays, an ephy within the statuloury minimum of thinty (20) stays will be considered timely.  If the period for only is specified above is less than thiny (20) stays, an ephy within the statuloury minimum of thinty (20) stays will be considered timely.  If the period for only is specified above is less than thiny (20) stays and of the communication.  Part (20) stays and (20) stays will be considered timely.  If the period for only is specified above is less than thiny (20) stays and of the communication.  If the period is specified above is less than thiny (20) stays and of the specified above is specified above in specified     | Office Action Summary   | Examiner   | Art Unit  |  |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE ③ MONTH(S) FROM THE MALLING DATE OF THIS COMMUNICATION.  and STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE ③ MONTH(S) FROM THE MALLING DATE OF THIS COMMUNICATION.  and STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE ③ MONTH(S) FROM THE MALLING DATE OF THIS COMMUNICATION.  and STATUTORY PERIOD FOR STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE ③ MONTH(S) FROM THE MALLING DATE OF THIS COMMUNICATION.  and STATUTORY PERIOD FOR STATUTORY PERIOD   |   | (Jackie) Tan-Uyen T. Ho  | 3731  |  |  |  |  |  |
| THE MAILING DATE OF THIS COMMUNICATION.  Estenctions of time map be waited bounder the provisions of 3 CPR 1.136(a). In no ovent, however, may a roply be timely filed offer SIX (6) MONTHS from the mailing date of this communication.  Failure for reply within the set of colerander points of the communication of the communic |   |  |   |  |  |  |  |  |
| 1)⊠ Responsive to communication(s) filed on <i>Q4 August 2003</i> .  2a)□ This action is FINAL. 2b)⊠ This action is non-final.  3)□ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims  4)⊠ Claim(s) 1-23 is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.  5)□ Claim(s) is/are allowed.  6)☑ Claim(s) 1-23 is/are rejected.  7)□ Claim(s) is/are objected to.  8)□ Claim(s) are subject to restriction and/or election requirement.  Application Papers  9)② The specification is objected to by the Examiner.  10)☑ The drawing(s) filed on is/are: a)□ accepted or b)☑ objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11)□ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  Priority under 35 U.S.C. § 119  12)□ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a)□ All b)□ Some * c)□ None of:  1.□ Certified copies of the priority documents have been received.  2.□ Certified copies of the priority documents have been received in Application No  3.□ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  Attachment(s)  1) ☑ Notice of Praftsperson's Patent Drawing Review (PTO-948)  3) ☑ Information Disclosure Statement(s) (PTO-149 or PTO/SB/08)  5) ☐ Other:   | THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply If NO period for reply is specified above, the maximum statutory period we Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing | 66(a). In no event, however, may a reply be tirr<br>within the statutory minimum of thirty (30) days<br>ill apply and will expire SIX (6) MONTHS from<br>cause the application to become ABANDONEI | nely filed<br>s will be considered timely.<br>the mailing date of this communication.<br>D (35 U.S.C. § 133). |  |  |  |  |  |
| 2a)  This action is FINAL. 2b) This action is non-final.  3)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims  4) Claim(s)  | Status  |  |   |  |  |  |  |  |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims  4) Claim(s)   | 1) Responsive to communication(s) filed on 04 Au  | 1) Responsive to communication(s) filed on <u>04 August 2003</u> .   |   |  |  |  |  |  |
| closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims  4)   | ·—  |  |   |  |  |  |  |  |
| A) □ Claim(s) 1-23 is/are pending in the application. 4a) ○ Of the above claim(s) is/are withdrawn from consideration.  5) □ Claim(s) is/are allowed. 6) □ Claim(s) is/are rejected. 7) □ Claim(s) is/are objected to. 8) □ Claim(s) are subject to restriction and/or election requirement.  Application Papers  9) □ The specification is objected to by the Examiner. 10) □ The drawing(s) filed on is/are: a) □ accepted or b) □ objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) □ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  Priority under 35 U.S.C. § 119  12) □ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) □ All b) □ Some * c) □ None of: 1 □ Certified copies of the priority documents have been received. 2 □ Certified copies of the priority documents have been received in Application No 3 □ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  Attachment(s) 1) □ Notice of References Cited (PTO-892) 2) □ Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) □ Internation Disclosure Statement(s) (PTO-1439 or PTO/SB/08) Paper No(s)/Mail Date 08/04/03.  |   |  |   |  |  |  |  |  |
| 4)   | closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.   |  |   |  |  |  |  |  |
| 4a) Of the above claim(s) is/are withdrawn from consideration.  5) □ Claim(s) is/are allowed. 6) ☒ Claim(s) is/are rejected.  7) □ Claim(s) is/are objected to. 8) □ Claim(s) is/are objected to. 8) □ Claim(s) are subject to restriction and/or election requirement.  Application Papers  9) ☒ The specification is objected to by the Examiner.  10) ☒ The drawing(s) filed on is/are: a) □ accepted or b) ☒ objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) □ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  Priority under 35 U.S.C. § 119  12) □ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) □ All b) □ Some * c) □ None of:  1. □ Certified copies of the priority documents have been received.  2. □ Certified copies of the priority documents have been received in Application No  3. □ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  Attachment(s)  1) ☒ Notice of References Cited (PTO-892)  2) ☐ Notice of Oraftsperson's Patent Drawing Review (PTO-948)  3) ☒ Information Disclosures Statement(s) (PTO-1449 or PTO/SB/08)  5) ☐ Notice of Informal Patent Application (PTO-152)  6) ☐ Other:   | Disposition of Claims   |  |   |  |  |  |  |  |
| 9) ☐ The specification is objected to by the Examiner.  10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  Priority under 35 U.S.C. § 119  12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) ☐ All b) ☐ Some * c) ☐ None of:  1. ☐ Certified copies of the priority documents have been received.  2. ☐ Certified copies of the priority documents have been received in Application No  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  Attachment(s)  1) ☐ Notice of Praftsperson's Patent Drawing Review (PTO-948)  3) ☐ Interview Summary (PTO-413) Paper No(s)/Mail Date @8/04/03.  4) ☐ Interview Summary (PTO-413) Paper No(s)/Mail Date  5) ☐ Notice of Informal Patent Application (PTO-152) Paper No(s)/Mail Date   | 4a) Of the above claim(s) is/are withdrawn from consideration.  5) □ Claim(s) is/are allowed.  6) ☑ Claim(s) <u>1-23</u> is/are rejected.  7) □ Claim(s) is/are objected to.  |  |   |  |  |  |  |  |
| 10)  The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  Priority under 35 U.S.C. § 119  12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  Paper No(s)/Mail Date 08/04/03.  | Application Papers  |  |   |  |  |  |  |  |
| 12)  | 10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).   |  |   |  |  |  |  |  |
| a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No.  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  Paper No(s)/Mail Date 08/04/03.  6) Other:   | Priority under 35 U.S.C. § 119  |  |   |  |  |  |  |  |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 08/04/03. 4) Interview Summary (PTO-413) Paper No(s)/Mail Date.  Paper No(s)/Mail Date.  5) Notice of Informal Patent Application (PTO-152) 6) Other:   | <ul> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> </ul>   |  |   |  |  |  |  |  |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 08/04/03.   | Attachment(s)   |  |   |  |  |  |  |  |
|  | <ul> <li>2)  Notice of Draftsperson's Patent Drawing Review (PTO-948)</li> <li>3)  Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)</li> </ul>   | Paper No(s)/Mail Da 5)  Notice of Informal P   | ite   |  |  |  |  |  |

#### **DETAILED ACTION**

1. The information disclosure statement (IDS) submitted on 08/04/03 is considered.

### **Drawings**

2. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, the deployment tip, the mechanically/electrolytically detachable end and the pusher as claimed in claims 10-12 must be shown or the feature(s) canceled from the claim(s). No new matter should be entered.

A proposed drawing correction or corrected drawings are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance.

## Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 4. Claims 1-8 and 10-23 are rejected under 35 U.S.C. 102(e) as being anticipated by Ferrera et al. (6,638,291). Ferrera et al. disclose a vaso-occlusive device comprising

Application/Control Number: 10/634,176 Page 3

Art Unit: 3731

a linear strand wound into a stable, three-dimensional relaxed configuration comprising a plurality of on-overlapping loops as claimed (see figures 2-3B), a deployment tip and a mechanically detachable end adapted to attach and detach from a pusher (Figures 1-3B), the Ferrera et al.'s vaso-occlusive device being made from the material as claimed (see cols. 6-7) and Ferrera disclose a method as claimed for making the vaso-occlusive device (see figures 13-18 and col. 9, lines 13-64).

### Claim Rejections - 35 USC § 103

- 5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 6. Claim 9 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ferrera et al. '291. Although, Ferrera et al. do not disclose additional filamentary material attached to the vaso-occlusive member, it is known in the art that vaso-occlusive member includes additional filamentary material in order to optimize the occlusion. Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to employ additional filamentary material into Ferrera et al.'s vaso-occlusive member in order to optimize the occlusion.
- 7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to (Jackie) Tan-Uyen T. Ho whose telephone number is (703) 306-3421. The examiner can normally be reached on MULTIFLEX Mon. to Sat..

Application/Control Number: 10/634,176

Art Unit: 3731

Page 4

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael J. Milano can be reached on (703) 308-2496. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

(Jackie) Tan-Uyen T. Ho

Patent Examiner Art Unit 3731

March 16, 2004